



***Building Administrative Capacities of the Countries
of the Western Balkans and the Republic of Moldova –
Phase II***

BACID Fund

Second Cycle

First Call for Proposals

Guidelines for Applicants

Deadline for submission of applications:
15 October 2018





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1 Background

With funding provided by the **Austrian Development Agency (ADA)**, the **Austrian Association of Cities and Towns (AACT)** and **KDZ - Centre for Public Administration Research** implemented the programme „*Capacity building in the countries of the Western Balkans and the Republic of Moldova*“, in the period 01.12.2014 - 31.01.2018. The aim of the Programme was to strengthen the governance structures of the countries in the region and to prepare them for possible accession to the European Union, with focus on local and regional governments.

After successful implementation of the first phase, the follow-up three year programme has been approved and the **BACID II** relaunched in April 2018.

The Programme covers the Western Balkans and the Republic of Moldova¹ (further on referred to as the Region), following the geographic coverage of the ADC Regional Strategy for Danube Area / Western Balkans Region. It is consistent with the Strategy's objectives to strengthen regional cooperation and support EU accession as well as with the third thematic priority: Governance, Human Rights and the Rule of Law: institution-building in the context of EU accession.

The main framework of the intervention is the EU Enlargement Strategy (2016) as well as the recently adopted EU strategy “A credible enlargement perspective for an enhanced EU engagement with the Western Balkans”² and ongoing process of EU integrations common to all partner countries: as EU candidate (Albania, Macedonia, Montenegro, Serbia), EU potential candidate (Bosnia and Hercegovina, Kosovo) or EU neighbouring (Moldova) countries, they have to integrate EU standards in all areas of public policies. The three key reform pillars on this path are rule of law, economic governance and public administration reform.

Following the EU perspective of the region, the Programme supports the implementation of the *South-East Europe (SEE) 2020 Strategy* and its Dimension *Governance for Growth*. As such, the Programme has impact on overall the socio-economic development of the partner countries through effective delivery of public services supported by regional and cooperation between national, local, civil, science and business sectors.

Finally, all those strategies are in line with the *United Nations 2030 Agenda for Sustainable Development* and defined Sustainable Development Goals (SDGs) that call for action by all countries to promote prosperity while protecting the planet³, while the EU accession process is a major accelerator for reforms and transformations that are critical for achieving the SDGs.

The first Programme component, **BACID Fund** is designed as a grant scheme, which provides funding for know-how transfer actions implemented by Austrian institutions and experts for the benefit of partners from the Region. It is built upon the experience of the Know-how Transfer Center (KTC) that was established in 1992 within the Austrian Association of Cities and Towns, in order to facilitate administrative cooperation of cities and municipalities in the new democracies of

¹ The Programme area includes Albania, Bosnia and Hercegovina, Kosovo, Macedonia, Moldova, Montenegro, Serbia.

² EU Western Balkans Strategy adopted on 6th of February: https://ec.europa.eu/commission/sites/beta-political/files/communication-credible-enlargement-perspective-western-balkans_en.pdf

³ <https://sustainabledevelopment.un.org/?menu=1300>

Central and Eastern Europe (CEE) with Austrian counterparts. It was funded by ADA and included about 2000 successfully completed projects. Since end of 2008, the KTC was managed by KDZ on behalf of the Association of Austrian Cities and Towns.

In the first phase of the BACID programme, 180.000 euro has been allocated through five Calls for proposals implemented in the period of 36 months starting from December 2014 and 32 actions successfully implemented⁴.

2 Objectives

The **Agenda 2030** recognizes that ending poverty must go hand-in-hand with strategies that build economic growth and addresses a range of social needs including education, health, social protection, and job opportunities, while tackling climate change and environmental protection.

The European Commission⁵ and EU member states have already made significant contribution towards achieving the Agenda 2030 objectives through wide multi-sectoral partnership, since the new integrated approach requires solutions across sectors and disciplines, at national sub-national governmental level as well at civil society, private sector and academic community.

Therefore, the BACID aims at **strengthening the capacities** of the entities in the non-EU countries of the Western Balkans and Moldova within economic, environmental and social pillars of sustainable development with a strong focus on equity as the main elements of the Agenda 2030 though introduction of related EU policies and standards.

Following previous experiences of “new” EU member countries, the peer-to-peer approach and transfer of know-how was identified as the most successful tool in gaining practical knowledge, therefore the set objective is to be achieved through exposure to Austrian know-how and inter-sectoral partnership.

The added value of the BACID programme is that it fills the gap between the predefined targeted interventions of the EU and other donors at one side, and emerging tailor-made current needs of the beneficiaries to be addressed through mentoring and practical experience.

3 Financial allocation

The total amount available under the BACID Fund is 200.000 euro. The funds will be distributed through Calls for proposals. If the dedicated amount is not spent in one Call, the remaining funds will be transferred to the following Calls. ADA reserves the right to amend maximum amounts available per Call and per action, or not to award all available funds.

The amount available in the First Call for Proposals is **€ 35,000**.

⁴ More information about the awarded actions are available at: http://www.bacid.eu/Activities_BACID_Fund.

⁵ COM(2016) 740 final COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS: Proposal for a new European Consensus on Development. Our World, our Dignity, our Future.

The maximum amount of grant available per action is **€ 6,000 to a maximum € 10.000 if it is used as seed-funding⁶ for bigger programmes/projects.**

The own **contribution** of applicant and partner/s is obligatory and may include in-kind contributions.

4 Eligibility criteria⁷

4.1 Eligibility of actors

4.1.1. Applicants

The BACID fund targets smaller actions for hands-on expert assistance to both public administration and CSOs as well as universities, media and businesses on the topics related to European Integration and contributing to the achievement of the Sustainable Development Goals (SDGs).

The **Applicants** have to fulfil the following conditions:

- Be a legal person registered in Austria or in an eligible country in the Region⁸
- Be a public or private entity, including both business sector and non-profit organisations.
- Have been established at least twelve months before launching of the Call for proposals.

4.1.2. Partners in Beneficiary Countries

Since the main purpose of the grant programme is the transfer of know-how from Austria to the Region, at least one organisation from the beneficiary country has to be involved in design and implementation, either as Applicant or Partner. Also at least Applicant or Partner has to be from Austria.

The action may include more Partner organisations from one or more beneficiary countries.

The **Partner** organisations must fulfil the same conditions as the Applicant (as described under 4.1.1).

⁶ Funding that can support establishment of partnership, development of project idea through workshops, implementation of small-scale pilot activity, preparation of studies, analysis, applications or similar, in order to apply for other funding.

⁷ The eligibility criteria hereby identified will be reviewed after implementation of each Call for proposals and may be amended according to the lessons learnt. They will be approved by ADA before launching of the new Call.

⁸ Albania, Bosnia and Herzegovina, Kosovo, Macedonia, Moldova, Montenegro, Serbia.

As part of the application package, the signed **Partnership Agreement** between Applicant and Partner/s has to be submitted (using the template available in the Application Package).

Partner organizations may differ from direct **beneficiaries** of the action.

4.2 Eligibility of actions

4.1.1. Period of implementation

The action has to be implemented in the period of 6 months after the date specified in the Contract as the beginning of the implementation.

The funding shall be received only for activities that will be implemented after the grant contract award.

4.1.2. Location

The actions have to take place in one of the following countries: Albania, Bosnia and Herzegovina, Kosovo, Macedonia, Moldova, Montenegro, Serbia, or in Austria.

4.1.3. Topics

The overall priority themes are based on the **17 Sustainable Development Goals (SDGs)** integrated in the latest EU policies, as the framework for long-term transformation of the Western Balkan countries.

The priority is given to the **SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable**. The targets covered by all other SDGs are also eligible.

These themes include a wide range of topics related to **promotion of human rights, inclusion and economic, social and environmental sustainability**.

For more reference to specific SDGs and its implementation by the European Union please visit the following pages:

<https://www.un.org/sustainabledevelopment/sustainable-development-goals> and
https://ec.europa.eu/europeaid/policies/sustainable-development-goals_en.

As the BACID Fund supports synergies with other initiatives, the **advantage will be given to actions used as seed funding or follow-up of other projects** (funded by ADA, CEI, EU, or other donors). This approach is in line with the *Position Paper of EUSDR Priority Area 10 on macro-regional strategies and Local Actors in a reformed Cohesion Policy in the next funding period of the European Union 2020+*, which stresses the need for „tailor-made instruments for

Local Actors and Civil Society Organizations, which should include small project funds and seed money facilities⁹.

As crucial for successfully localising SDGs, the BACID Fund **supports multi-sectoral partnership** in the country, i.e. between public institutions at national and local levels, public institutions with CSOs as well as academic and business sector.

The actions that bring **innovation** to the country, in terms of enabling public administration to introduce and support innovative techniques and methods in the priority topics, will be assessed as highly relevant.

4.1.4. Activities

The following types of activities are eligible for funding:

- 1) **Expert support and mentoring;**
- 2) **Workshops, seminars and training;**
- 3) **Elaboration of reports, studies, surveys and other documents** (e.g. guidance, roadmap, model decision, etc.).

4.3 Eligibility of costs

Taking into account the eligible activities, the following types of costs to be covered by the grant are eligible:

- 1) **Fees:** Includes fees for experts, trainers etc. engaged by the Applicant or Partners, with maximum euro 450,--/day.
- 2) **Per diems:** For the coverage of meals, transport and accommodation costs of experts engaged by the Applicant and their other expenses such as insurance, material costs, etc., with maximum euro 170,--/day.
- 3) **Travel costs:** Includes only travel expenses of engaged experts. The most suitable transport is to be proposed in the application stage, and may include flight, train or bus ticket. The flight costs will be refunded in the maximum amount of euro 800,--. The car costs are also eligible under the condition that it is the most efficient transport mean in terms of time and money with the maximum rate per km and under the conditions defined in the Austrian legislation¹⁰.

⁹ The final conclusion of the Position Paper states: :We suggest to support, in the ongoing planning of the European Cohesion Policy 2020+, the development of **easily accessible and manageable funding instruments, including pre-financing models and project preparation for smaller projects**, which would strengthen the involvement of local actors and civil society in the development of all macro-regional strategies. Cohesion Policy can only be successful if civil society and local actors are granted the flexibility, trust and financial incentives they need to implement the goals of common European interest.

¹⁰ Verordnung der Bundesregierung vom 29. März 1955, betreffend die Gebühren bei Dienstreisen, Dienstverrichtungen im Dienort, Dienstzuteilungen und Versetzungen (Reisegebührenvorschrift 1955)
StF: BGBl. Nr. 133/1955 idF BGBl. Nr. 223/1956 (DFB), ABSCHNITT II-Dienstreisen, § 4, UNTERABSCHNITT A- Reisekostenvergütung, § 10 und § 11 (<http://www.ris.bka.gv.at/GeltendeFassung.wxe?Abfrage=Bundesnormen&Gesetzesnummer=10008156>)

Please note: The 1st class train tickets and business-class flight tickets are not accepted, unless there is a reasonable justification for its use.

- 4) **Costs of events:** May include the costs of renting of premises, audio and translation equipment, translation/interpretation services and refreshment/lunches, in the maximum amount of euro 1.000, --.

The following costs are not eligible as costs of the action:

- cancellation fees,
- minibar or pay TV, or alike.

The Applicant and Partners are taking the commitment to cover all costs additionally identified as necessary for the implementation of the action by signing the Partnership agreement.

5 Visibility

The Applicant and its Partners are obliged to publicize the funding provided by ADA. All documents developed during the action, as well as reports, shall include the following:

- The Action is funded by the Austrian Development Agency (ADA) through the BACID grant scheme (Building Administrative Capacities in Danube Region & Western Balkans), managed by the Austrian Association of Cities and Towns (AACT) and KDZ Centre for Public Administration Research.
- Logo of ADA and BACID as provided in the contracting phase.
- Disclaimer: "This publication/document has been produced with the assistance of the Austrian Development Agency (ADA). The contents of this document are the sole responsibility of the author/s and can in no way be taken to reflect the views of ADA nor the Austrian Government."

6 How to apply and procedures to follow

6.1 Number of applications and grants

The **Applicant** may submit more than one application, with same or different partners.

The Applicant may not be awarded more than one grant per Call.

The beneficiary institutions may be involved as **Partners** in more than one application. However, they can benefit from maximum 2 actions per Call for proposals: one related only to their beneficiary country and additionally one where partners from more countries are involved.

Both Applicants and Partners may not benefit from more than 2 consecutive or parallel grants during the second BACID Cycle.

6.2 Application Package

The Application Package is available for download at the webpage: <http://www.bacid.eu/Calls>.

The completed forms are to be submitted electronically to the following email address: baacid@kdz.or.at, within the given deadline.

The Application Package consists of the several forms and each of them need to be filled in and submitted in order to consider application acceptable for evaluation:

- 1) **Application Form** (including reporting section)
- 2) **Budget Template** (including reporting section)
- 3) **Partnership Agreement**.

Please note that the Application Form and Budget Template are merged in one excel file, with two separate sheets.

Additionally, on the website you may find available the **Grant Contract template**.

6.3 Questions and answers

Any questions related to the Call may be submitted to the following email address: baacid@kdz.or.at. The answers shall be provided not later than 7 days after submission of the question and published on the BACID website.

6.4 Deadlines and Timetable

The application may be submitted only after launching of the Call for proposals. An indicative timetable of the present Call is the following:

Activity	Provisional timetable of the First Call for Proposals
Launch of the Call for proposals	15 th of August 2018
Deadline for submission of applications	15 th of October 2018
Information on approved applications	First week of November 2018
Award of the Contract	Second half of November 2018
Contract Implementation and Reporting	Till end of May 2019
Payment	On individual basis, 30 days after approval of the Report

7 Evaluation process and criteria

The evaluation process includes three steps:

- 1) The BACID team checks: a) if the application is submitted within the deadline and b) if the eliminatory criteria are met.
If any of these conditions is not fulfilled, the application may be rejected.
- 2) The BACID Fund Evaluation Team shall make the assessment according to defined evaluation criteria based on which the ranking list is drafted.
- 3) ADA approves the ranking list.

The following criteria will be used in order to assess the applications, with the set of eliminatory criteria and evaluation criteria with the total maximum score of 50 points:

Eliminatory criteria	YES/NO
The correct Application Form has been used.	
All sheets of the Application Form have been completed.	
The Partnership Agreement has been enclosed to the Application, filled in and signed.	
The Applicant is fulfilling the eligibility criteria set out in the Section 4.1.1 of the Guidelines for Applicants.	
The eligibility criteria on partners and beneficiaries set out in the Section 4.1.2 of the Guidelines for Applicants are fulfilled.	
The location of the Action is as specified in the Section 4.2.2 of the Guidelines for Applicants.	
The requested funding is less or equal to maximum allowed as per the Section 3.	
The own contribution is ensured by applicant and partners (as explained in the section 4.3 of the Guidelines).	

Evaluation criteria	max. 50 points
Relevance	max. 15 points
Does the action address any of priority topics identified for the current Call (clear reference made to the SDG and EU negotiation chapters)?	
Does the action contribute to the achievement of priorities identified in national/regional strategic documents?	
Does the action contribute to the achievement of local priorities as identified in the strategic documents?	
Does the action introduce innovation, i.e. concepts that are innovative for the country?	
Does the action support gender equality / vulnerable groups / social inclusion?	
Does the action contain measures related to environmental protection?	
Effectiveness	max. 12 points
Are estimated results contributing clearly to the achievement of the action objectives?	
Are activities contributing clearly to the achievement of identified objectives and results?	

Are the activities clear and feasible?	
Is the action taking into account synergies with other actions in the country?	
Does the Applicant have relevant professional experience?	
Efficiency	max. 8 points
Are all activities appropriately reflected in the budget?	
Is the ratio between expected costs and estimated results satisfactory?	
Is appropriate own contribution ensured to cover all necessary costs of the action, that are not covered by the grant?	
Is it likely that the action has multiplier effects?	
Sustainability	max. 15 points
Is the ownership of the partner institutions clear and sustainable?	
Is the grant used as seed-funding for other donor or public programmes?	
Are the results likely to be sustainable (financially, institutionally, at policy level, environmentally (if applicable))?	

Additionally to the eliminatory criteria, the applications that don't reach the following minimum of points shall be rejected:

- Necessary total minimum of points is 30.
- Necessary minimum for the section *Relevance* is 8 points.
- Necessary minimum for the section *Effectiveness* is 6 points.
- Necessary minimum for the section *Efficiency* is 4 points.
- Necessary minimum for the section *Sustainability* is 8 points.

8 Contract award and implementation

Once the evaluation process is completed and the decision made, all applicants will be informed on the results. The selected applicants will receive the contracts and will have 2 weeks to return the signed contracts. If an applicant fails to meet the deadline without written justification, the next applicant from the ranking list will be offered the contract, or, ADA may decide to leave the non-allocated funds available for the other Calls for proposals.

Once the contracts are signed, the list of awarded actions will be published on the BACID website: www.bacid.eu.

The date of the beginning of the action shall be defined in the contracting phase, after which the activities may be implemented in the period of 6 months, including reporting.

9 Reporting and payment

No pre-financing will be given before the final report is submitted. In order to receive the payment, the Applicant has to submit the following:

- Completed report and financial statement using the provided templates
- Materials produced during the implementation, including when appropriate, photos and lists of participants
- Supporting accounting documents.

Supporting accounting documents have to be submitted in originals that will be kept by KDZ, and include the following:

- 1) Request for payment with the specified costs per each category and the total amount spent.
- 2) For justification of costs of **FEES**:
 - Invoice of expert/s specifying the number of working days, total amount, social security and tax number.
 - Completed and signed timesheets (using provided templates: please note that the maximum number of working hours per day is 8, and the travel time cannot be charged as working hours).
 - Bank statements on transfers made.
- 3) For justification of costs of **PER DIEMS**
 - Bank statement on transfer made to expert's account OR signed receipts for cash payments with the words "cash received".
- 4) For justification of costs of **TRAVEL**
 - Bank statements on transfers made if paid through the account, OR the Invoice for the ticket.
 - Original boarding passes or used train/bus tickets, or, in case of car costs, the justification of the choice of car as the most rational means of transport and calculation of the amount spent per kilometres travelled, in line with the Austrian legislation¹¹.

¹¹ Please see the footnote 4 above.

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KDZ
Zentrum für Verwaltungsforschung

Guglgasse 13 · A-1110 Wien
T: +43 1 892 34 92-0 · F: -20
institut@kdz.or.at · www.kdz.or.at